

GIZ Project  
Strengthening of Urban Resilience in the Areas  
of Supply Infrastructure and Housing in Ukraine

20.09.2024

# EXPERT WORKSHOP

## KNOWLEDGE TRANSFER FOR RESILIENT NEIGHBOURHOODS IN UKRAINE



# Program

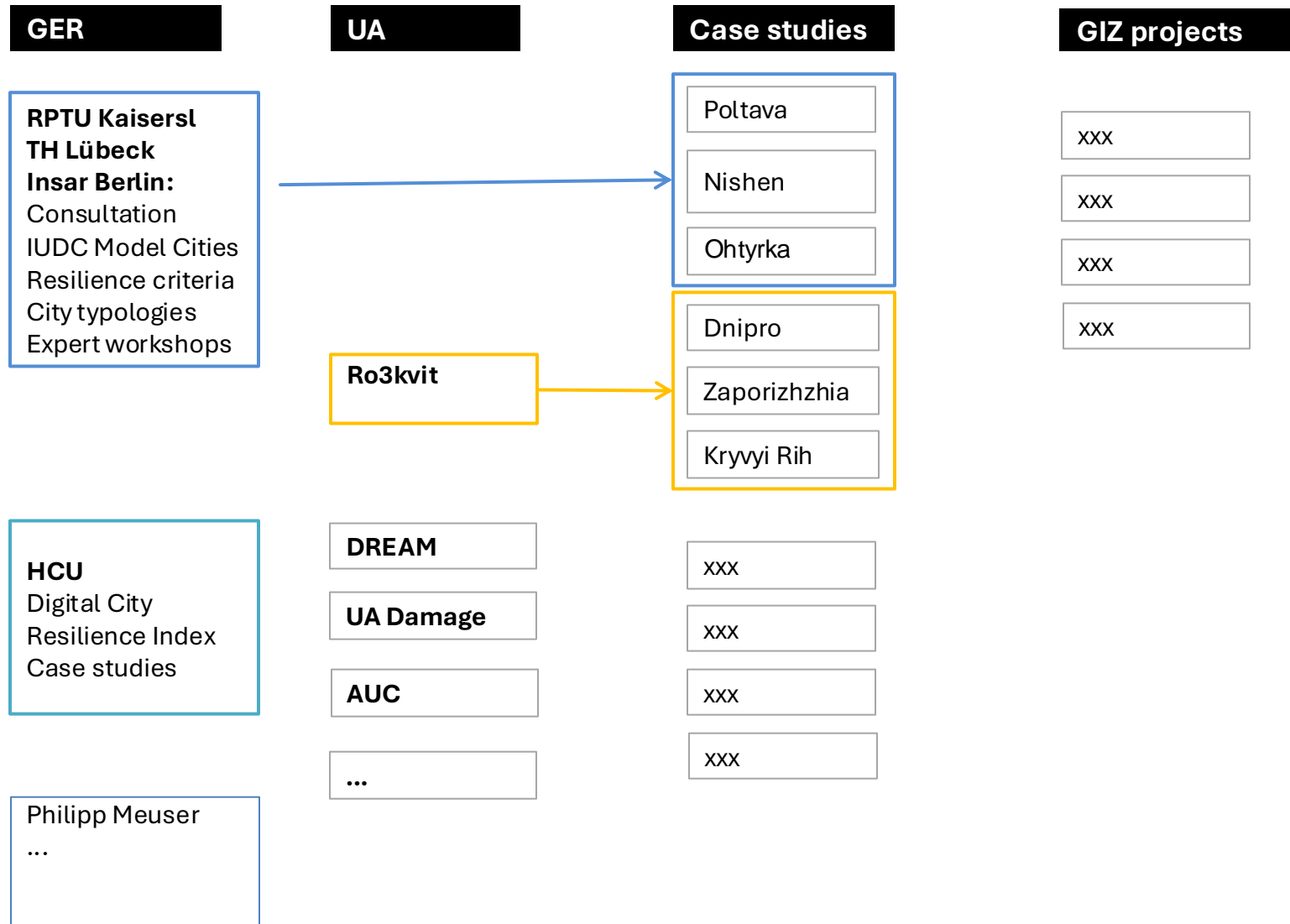
<i>Time MET</i>	<i>Topic</i>	<i>Presenters</i>
09.00	Welcome	GIZ
09.15	Introduction to the workshop	RPTU, THL, Insar
09.25	Criteria of vulnerability and strategies of resilience in recovery plans	HCU/ RPTU
09.45	Discussion	
10.30	Break	
10.45	Inputs: <ul style="list-style-type: none"> <li>– Strategies for resilient Ukrainian cities – examples from student projects</li> <li>– Development agencies for urban recovery – experiences from East Germany</li> <li>– Strategies of integrated urban development</li> </ul>	Prof. Dr. Liubov Apostolova-Sossa, KNUBA Matthias Klipp, project developer  Prof. Hilmar Lojewski, Deutscher Staedtetag
11.15	Needs for urban resilience and recovery plans from municipalities	Ruslan Golub, Tetiana Melnyk, AUC Ukraine
11.35	Discussion	
12.20	Outlook	
12.30	End of workshop	

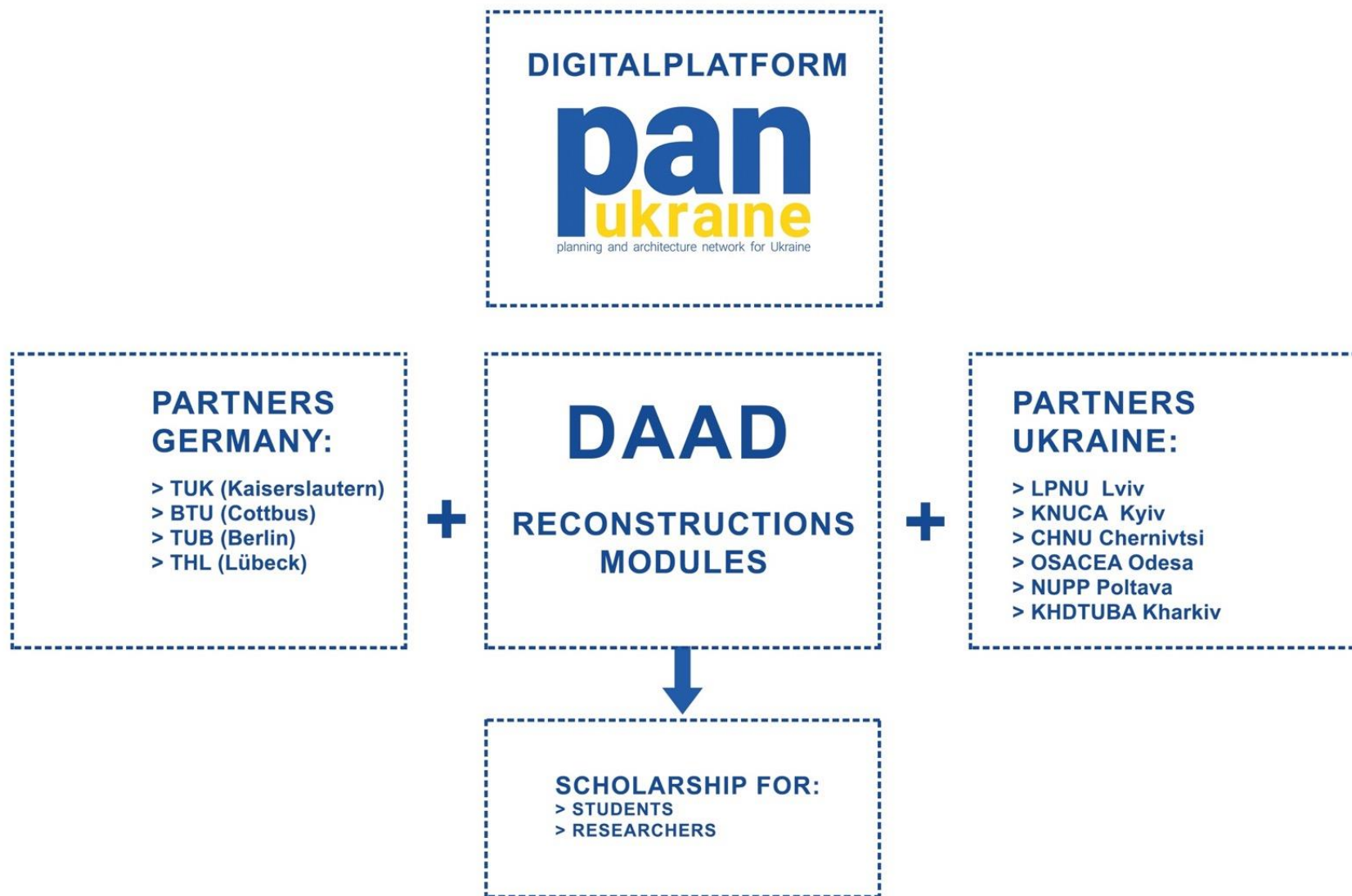
# Participants

Name	Organisation
Prof. Dr. Liubov Apostolova-Sossa	KNUBA University Kyjiv
Prof. Kees Christiaanse	KCAP Zurich/ ETH Zurich
Ruslan Golub	Association of Ukrainian Cities AUC
Prof. Dr. Stefan Greiving	Technical University Dortmund
Nataliia Kholchenkova	International department Chernihiv
Matthias Klipp	Chief architect Potsdam/ Prenzlauer Berg off duty
Oleksandr Kodola	City mayor Nizhyn, Ukraine
Prof. Hilmar von Lojewski	Department Head, Deutscher Staedtetag Germany
Tetiana Melnyk	Association of Ukrainian Cities AUC
Prof. Dr. Philipp Meuser	Meuser Architects Berlin
Viktor Nestulia	Dream platform Ukraine
Gabriele Nießen	State secretary Bremen off duty
Oleksandr Pechenenk	Institute of the city development, Poltava
Nadiya Pitukova	First deputy mayor Okhtyrka, Ukraine
Prof. Dr. Jan Polivka	Technical University Berlin
Nataliya Sirota	Deputy head of the architecture department Poltava
Maksym Terletsy	Urban Resilience Hub Lviv
Fulco Treffers	Roskvit Ukraine
Yegor Vlasenko	Doctoral Assistant Laboratoire d'Urbanisme Lausanne
Partner from GIZ SUR Project	
Prof. Dr. Joerg Noenning, Maria Dale	HCU Hamburg
Prof. Dr. Detlef Kurth, Anna Kuzyshyn, Poliksen Qorri-Dragej	RPTU Kaiserslautern
Prof. Christoph Wessling, Yana Maksymchuk	INSAR Berlin
Prof. Frank Schwartz	TH Lubeck
Team from GIZ	
Iryna Bryzhan Mariia Kostenko Joerg Meyer Yevgen Losyev Erik Schweikhardt	GIZ

1. Objectives and work packages of our project
2. Background EU objectives Leipzig Charta
3. Intermediate results on urban resilience
4. Discussion

# Partner structure GIZ SUR - overview





# Our team



**Prof. Dr. Detlef Kurth**  
RPTU Kaiserslautern



**Anna Kuzyshyn M.Sc.**  
RPTU Kaiserslautern



**Dipl.-Ing. Poliksen Qorri-Dragej**, RPTU Kaiserslautern



**Prof. Christoph Wessling**,  
Insar Berlin



**Yana Maksymchuk**,  
Insar Berlin

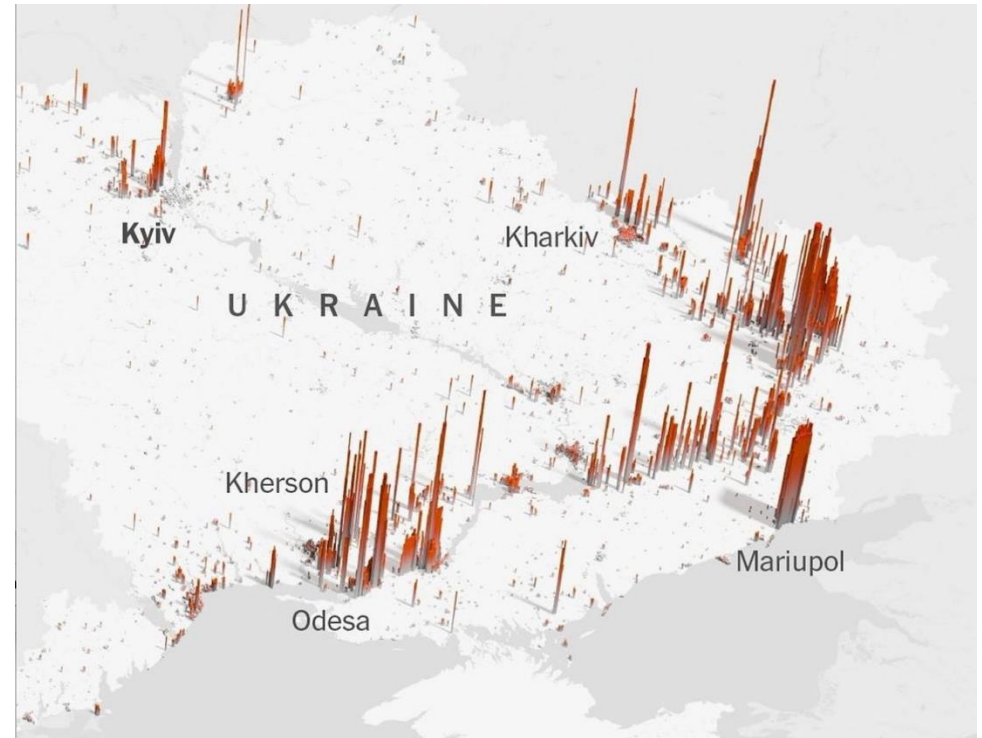


**Prof. Frank Schwartz**,  
TH Luebeck

# Main objectives

## Objectives:

- Short-term reconstruction projects need to be balanced with the medium-term goals of integrate urban development – Rapid Integrated Urban Development Concepts (IUDC)
- Ensure that inductive and deductive approaches are implemented in parallel.
- Qualify those responsible in the selected municipalities in terms of municipal planning sovereignty and contemporary urban development planning.
- Consultation, transfer, expert workshops



Damage as a share of built area. Source: InSar data by Jamon Van Den Hoek and Corey Scher,



# Main steps

Brief research on resilient neighbourhood development and urban renewal processes

Support in the selection and classification of model municipalities

Advising the model municipalities on resilient neighbourhood development / Interviews

Exemplary neigh-bourhood concept 1

Exemplary neigh-bourhood concept 2

Exemplary neigh-bourhood concept 3

Knowledge transfer and knowledge exchange/ capacity building/ expert workshops  
Competence platform for resilient neighbourhood development and reconstruction

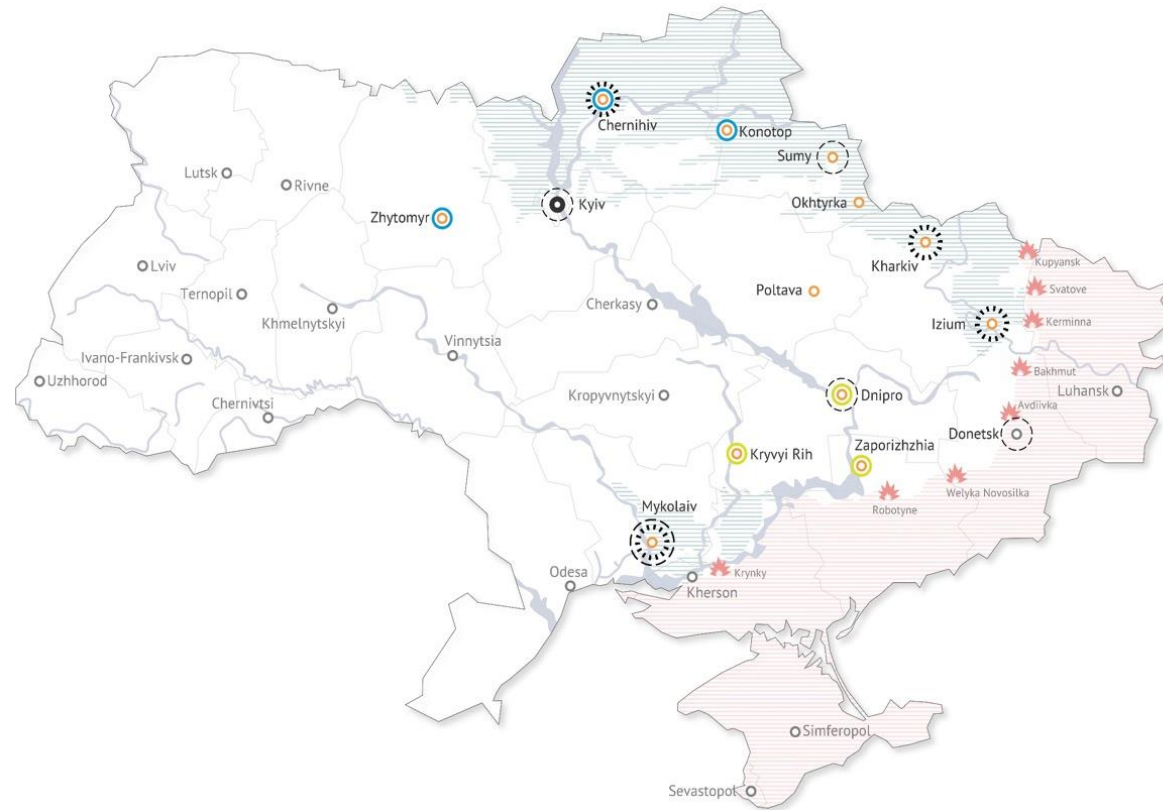
Project coordination, project management, reporting

# Typologies and case studies

- Analysis of city typologies in East Ukraine
- Interviews with politicians and stakeholders in selected cities
- Selection of three case studies

Exemplary neighbourhood concepts with aspects of urban resilience for cities of

- Poltava
- Nishen
- Ohtyrka



1. Objectives and work packages of our project
2. Background EU objectives Leipzig Charta
3. Intermediate results on urban resilience
4. Discussion

# Background „Leipzig Charter“ of European Union 2007/2020

Targets of urban development policy:

- Limitation of urban sprawl
- Compact and dense urban structures, mixed functions
- Strengthen common good, public infrastructure
- Green and climate adaptive city
- Less car traffic, accessible public space

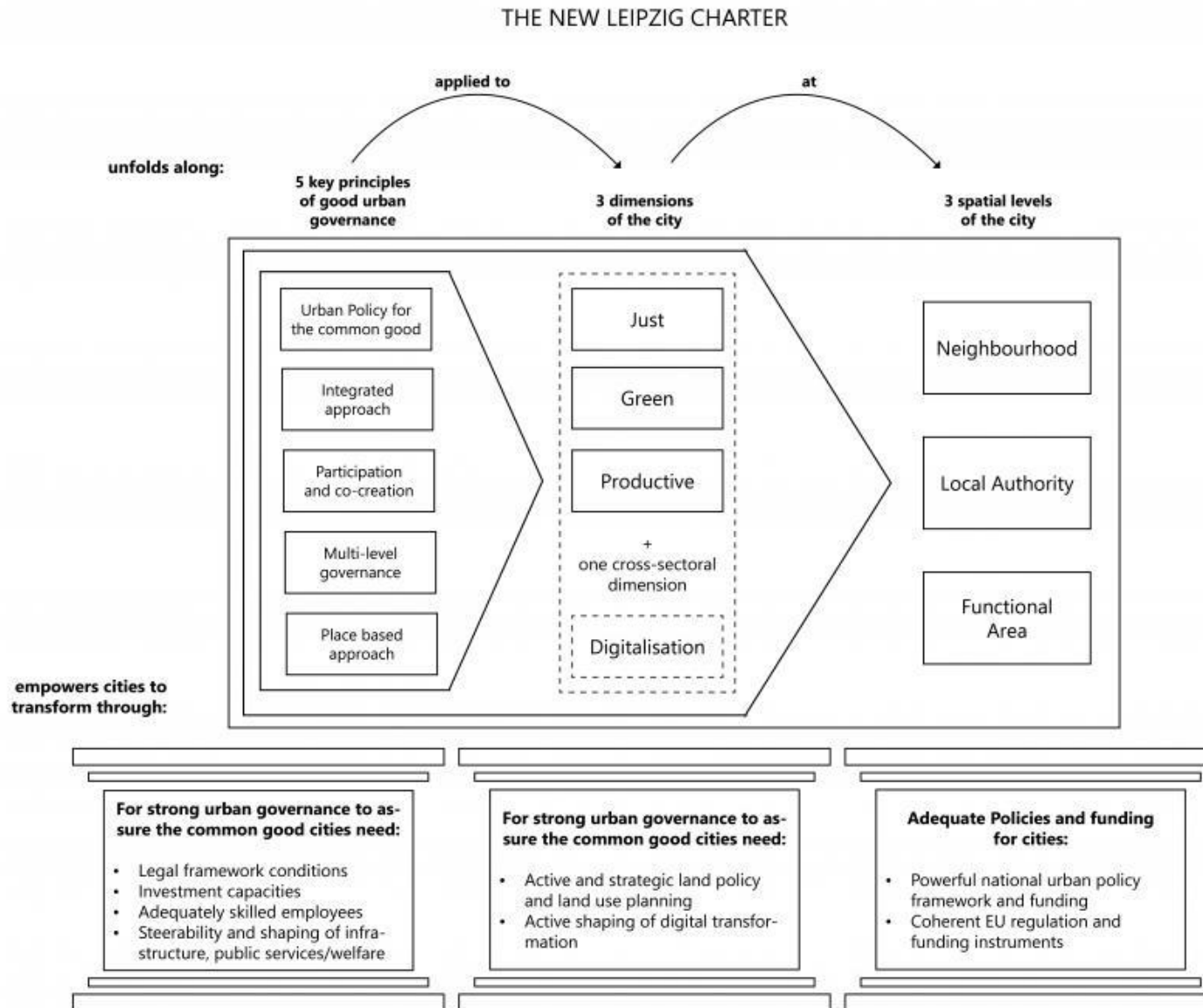
Integrated approach:

- Multi-level governance, strong municipalities
- Integrated urban development concepts (IUDC)
- Planning as a process, not top-down
- Integrating the objectives of sustainable development



**>>> Basis for EU EFRE fundings**

# Background „Leipzig Charter“ of European Union 2007/2020



- 1. Objectives and work packages of our project**
- 2. Background EU objectives Leipzig Charta**
- 3. Intermediate results on urban resilience**
- 4. Discussion**



# Different kinds of dangers and crisis

- Natural catastrophes
- Environmental crisis: resources, ...
- Economic crisis / bankruptcy
- Financial/ bank crisis
- Political crisis, bad government
- Demographical crisis, aging society
- Failing critical infrastructure
- Security crisis/ terror



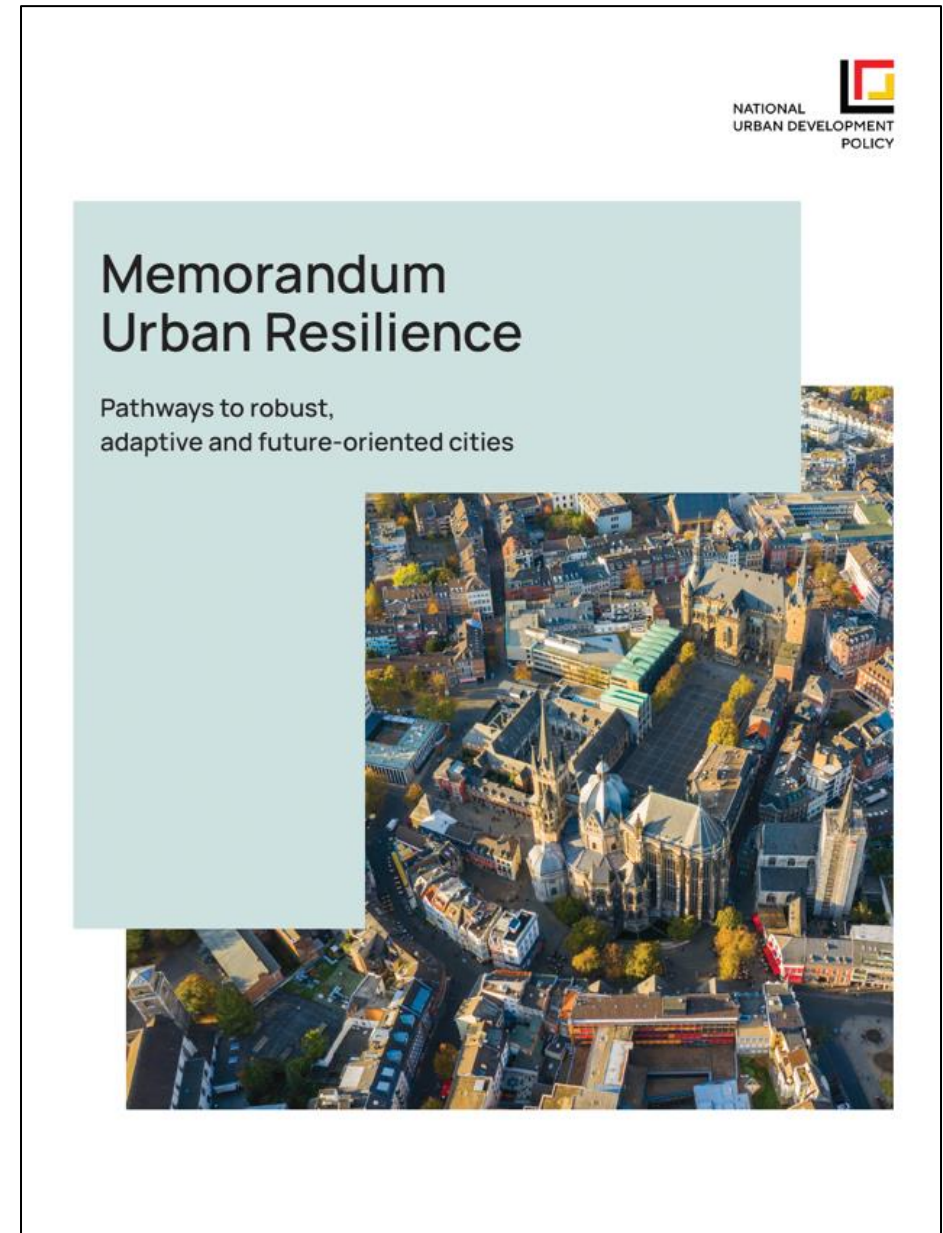
# UN-Habitat/ Memorandum of „Urban Resilience“ in Germany

## Definition **UN-Habitat 2021**:

“Urban Resilience is the measurable ability of any urban system, with its inhabitants, to **maintain** continuity through all shocks and stresses, while positively **adapting** and **transforming** toward sustainability”.

Three dimensions: robust, adaptive, future-oriented

“Building back better”



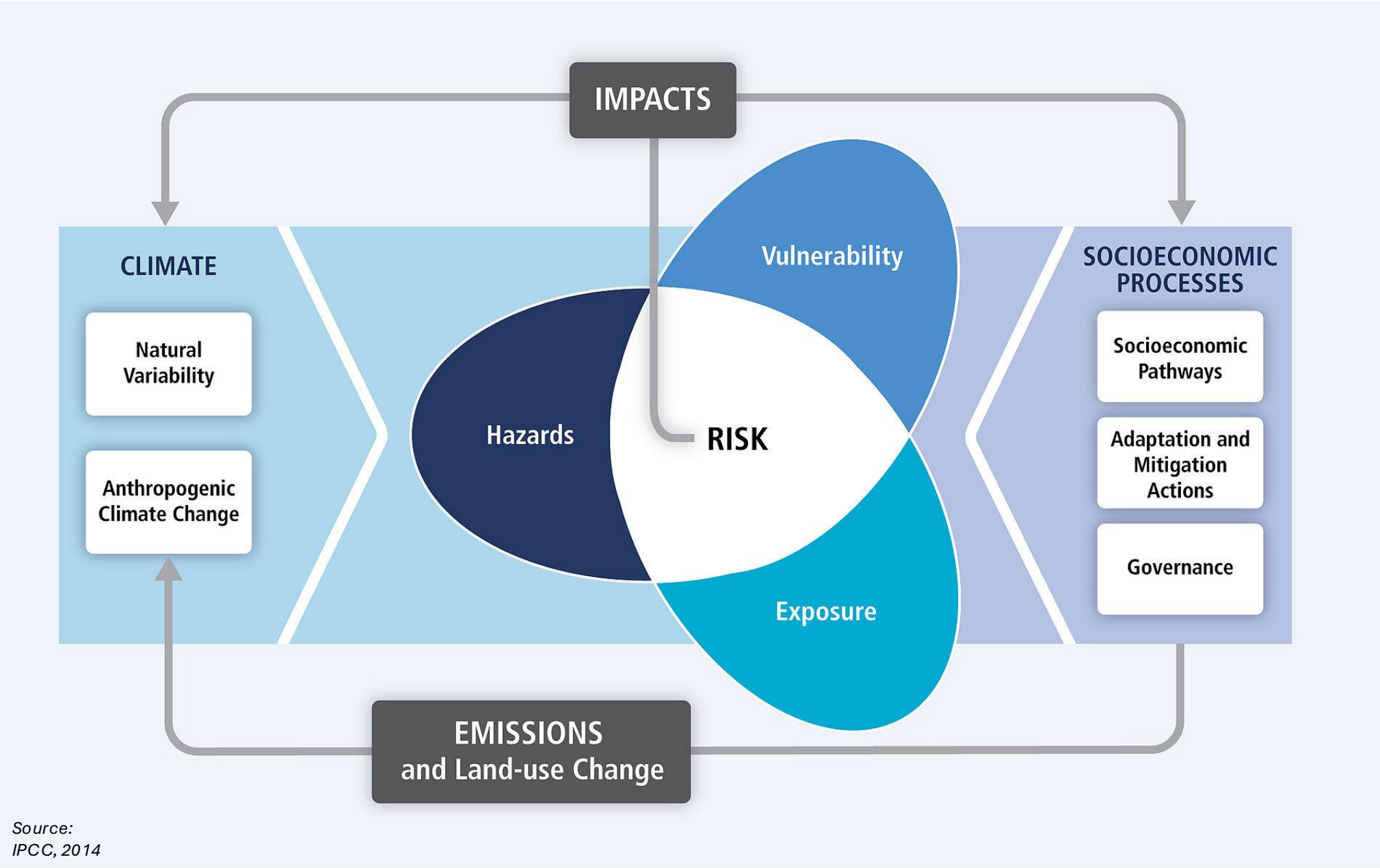


# Urban Resilience in Ukraine

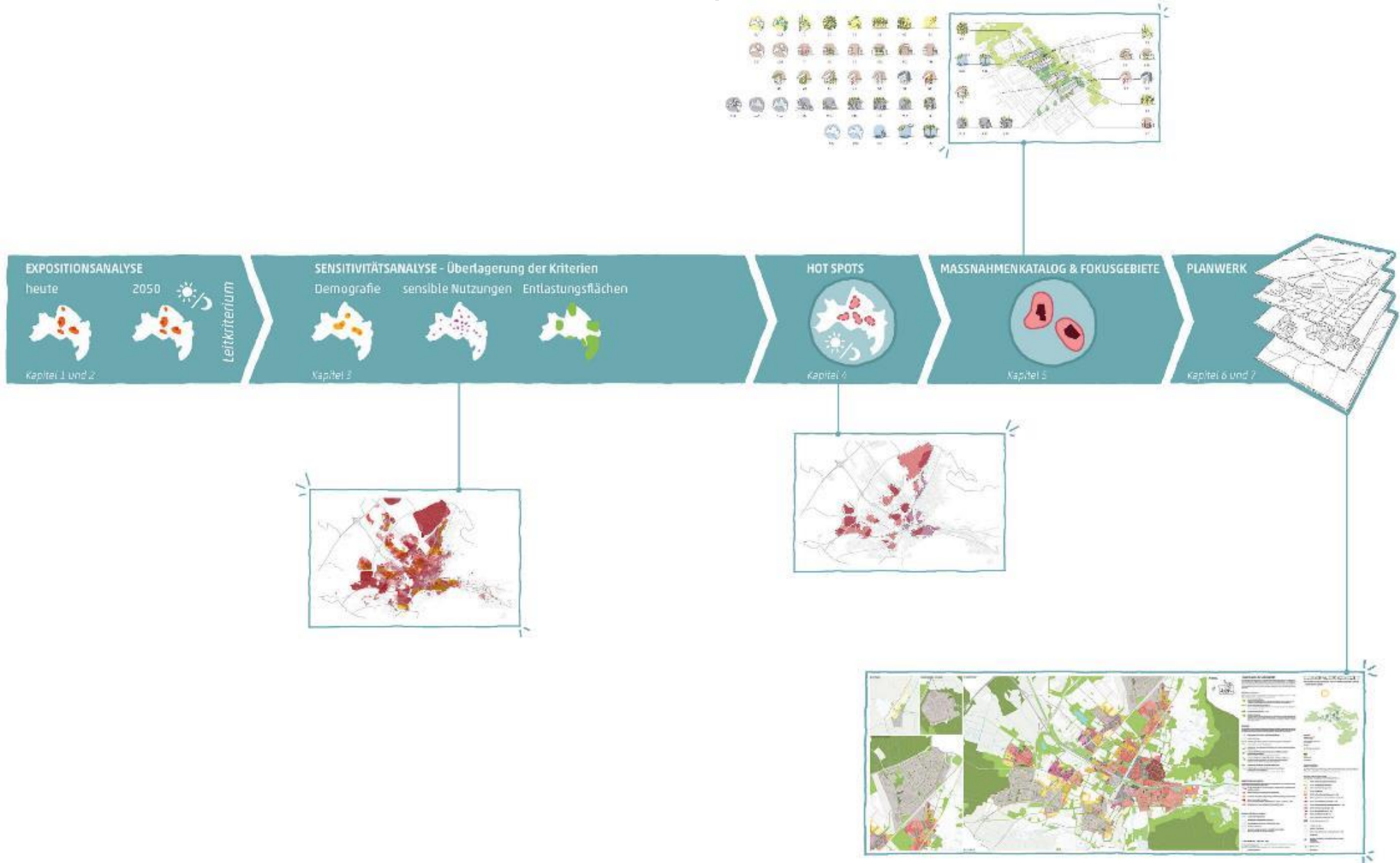
*Rapid solutions must be found for the acute and persistent threat to people in an ongoing war and daily changing circumstances.*

*Long-term strategies and scenarios must be developed that take into account the challenging after-effects of the war and at the same time consider all other global challenges like climate change, demographics, resources, security of supply etc.*

# Urban Resilience Risks

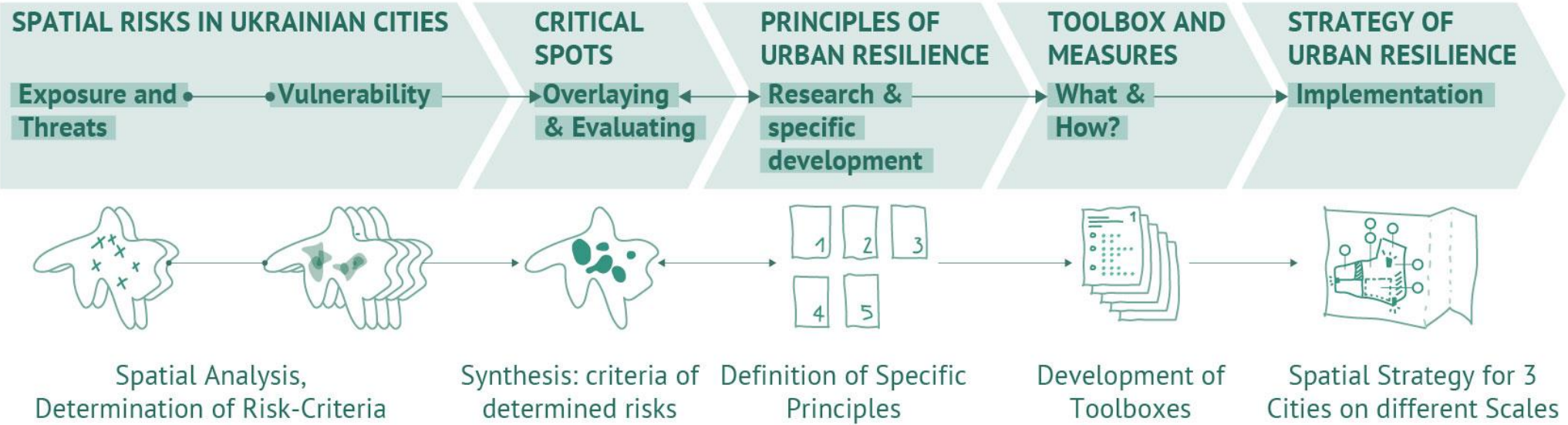


# Urban Resilience Urban Climate Adaptation



Source:  
Climate Adaptation Concept Freiburg  
berchtoldkrass, Geo-Net

# Urban Resilience Approach



# Urban Resilience Exposure and Threats

## SPATIAL RISKS IN UKRAINIAN CITIES

### Exposure and Threats

Acute      Chronicle  
Shocks    Stresses

#### Acute Shocks

Sudden-onset, disruptive external incidents leading to adverse effects in the urban areas:

- War
- Natural Disaster
- Technogenic Disaster
- [...]

#### Chronicle Stresses

Chronic pressures whose cumulative impacts undermine city's capacity for resilience:

- Possible aftermaths of war
- Effects of climate change
- Global trends

# Urban Resilience Exposure and Threats | Criteria Acute Shocks

## SPATIAL RISKS IN UKRAINIAN CITIES

### Exposure and

### Threats

Acute Shocks    Chronicle Stresses

### Effects of War

War damages / destruction  
Emigration and displacement  
– Shelter for refugees and IDPs

### Ecological effects

– Contamination, pollutants,  
disruptions to ecosystem  
structure and services

### Economical effects

– shrinking / absence / relocation  
of business and industry

[...]

### Natural Disasters

Heavy rain  
Flooding  
Extreme Heatwaves  
Drought  
[...]

### Technogenic Disaster

– risks of large-scale nuclear disaster

[...]

## SPATIAL RISKS IN UKRAINIAN CITIES

### Exposure and Threats

Acute Shocks

Chronicle Stresses

### Effects of Climate Change

Increased Waterlevel

Heat

- Urban Heat Islands
- Bioclimatical Heat Stress
- [...]

### Possible Aftermaths of War

Architectural and urban heritage

Shrinking / growing cities due to

- Remigration of refugees and IDPs
- Unemployment and poverty
- [...]

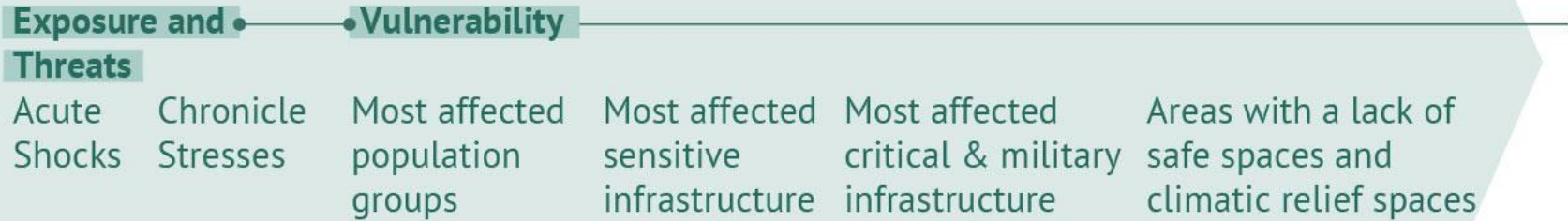
### Global Trends

- Urbanization
- Demographic change
- Rural-urban migration
- [...]



# Urban Resilience Vulnerability

## SPATIAL RISKS IN UKRAINIAN CITIES



## Vulnerability

Areas of a city that are particularly affected by exposure and threats due to certain uses or population structures:

- Areas in which many sensitive population groups live
- Areas with sensitive infrastructures
- Areas with critical and military infrastructures

Identifying these particularly affected, and thus particularly vulnerable, areas is important in order to know where there is the most urgent need for action to create urban resilience.



# Urban Resilience Vulnerability | Criteria

## SPATIAL RISKS IN UKRAINIAN CITIES

### Exposure and Threats

Acute Shocks    Chronicle Stresses

### Vulnerability

Most affected  
population  
groups

Most affected  
sensitive  
infrastructure

Most affected  
critical & military  
infrastructure

Areas with a lack of  
safe spaces and  
climatic relief spaces

## Population groups

- elderly people
- children / infants
- mobility-restricted people
- traumatized people
- individuals / families with a lower income
- [...]

# Urban Resilience Vulnerability | Criteria

## SPATIAL RISKS IN UKRAINIAN CITIES

### Exposure and Threats

#### Threats

Acute Shocks    Chronicle Stresses

### Vulnerability

Most affected population groups

Most affected sensitive infrastructure

Most affected critical & military infrastructure

Areas with a lack of safe spaces and climatic relief spaces

## Sensitive Infrastructure

- Hospitals
- Kindergartens
- Schools
- Retirement homes
- Trauma centers/psychiatric clinics
- Women's shelters
- Shelters/centers for internally displaced persons or those who lost their homes due to hostilities
- [...]

# Urban Resilience Vulnerability | Criteria

## SPATIAL RISKS IN UKRAINIAN CITIES

### Exposure and Threats

Acute  
Shocks

Chronicle  
Stresses

### Vulnerability

Most affected  
population  
groups

Most affected  
sensitive  
infrastructure

Most affected  
critical & military  
infrastructure

Areas with a lack of  
safe spaces and  
climatic relief spaces

## Critical & Military Infrastructure

- Energy
- Water
- Food
- Information technology & telecommunications
- Health
- Media and culture
- Finance & insurance
- Transportation & traffic
- Municipal waste disposal
- Government & administration
- Military services
- [...]

# Urban Resilience Vulnerability | Criteria

## SPATIAL RISKS IN UKRAINIAN CITIES

### Exposure and Threats

Acute  
Shocks

Chronicle  
Stresses

### Vulnerability

Most affected  
population  
groups

Most affected  
sensitive  
infrastructure

Most affected  
critical & military  
infrastructure

Areas with a lack of  
safe spaces and  
climatic relief spaces

## Areas with a lack of accessibility to safe spaces and climatic relief spaces

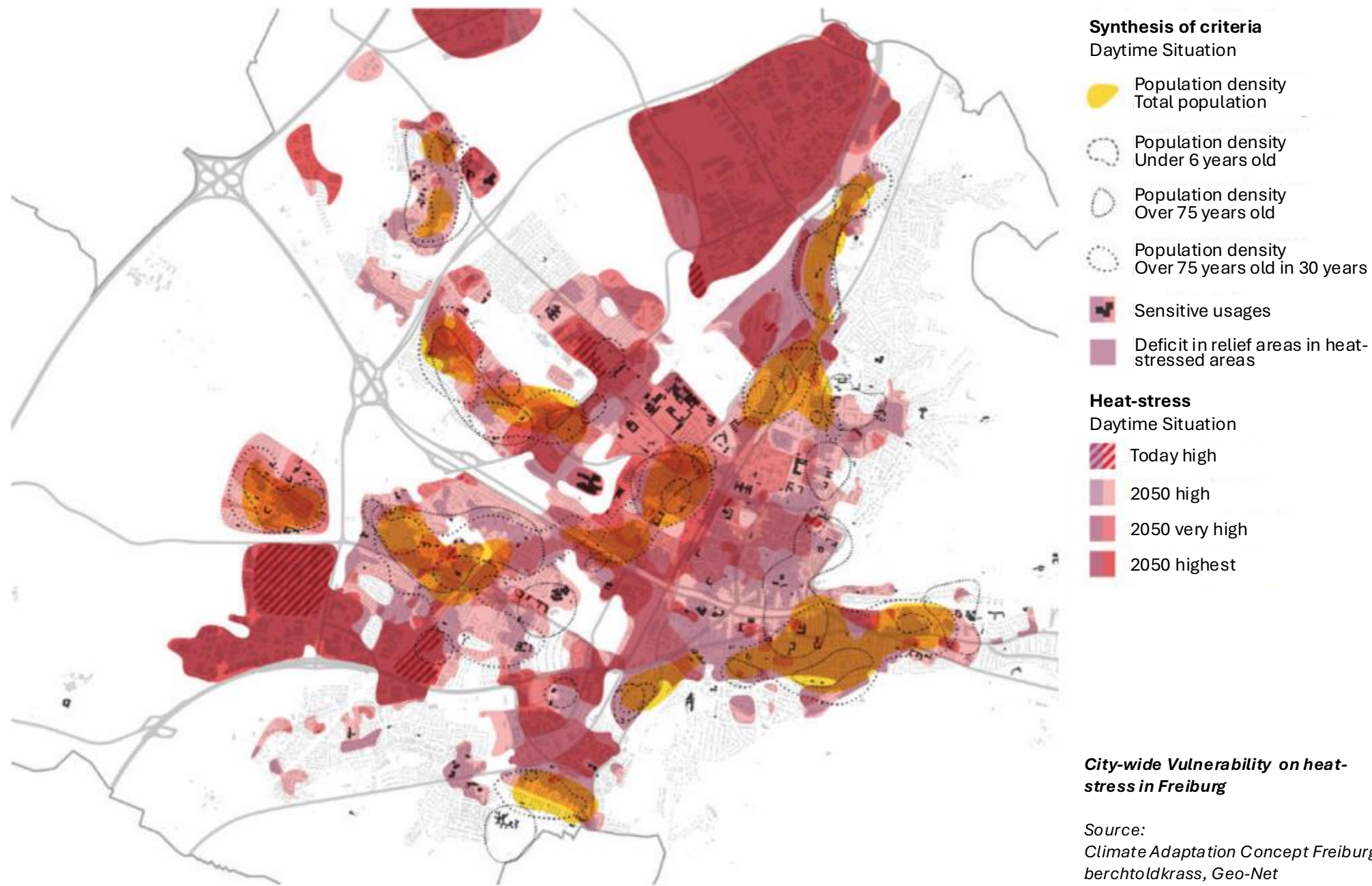
### Safe Spaces

- bunker
- shelter
- collection points and emergency routes
- underground stations
- [...]

### Climatic relief spaces

- Parks
- Schoolyards
- Playgrounds
- Sports fields
- Institutional open spaces
- [...]

# Urban Resilience Vulnerability





# Urban Resilience Critical Spots



## Critical Spots

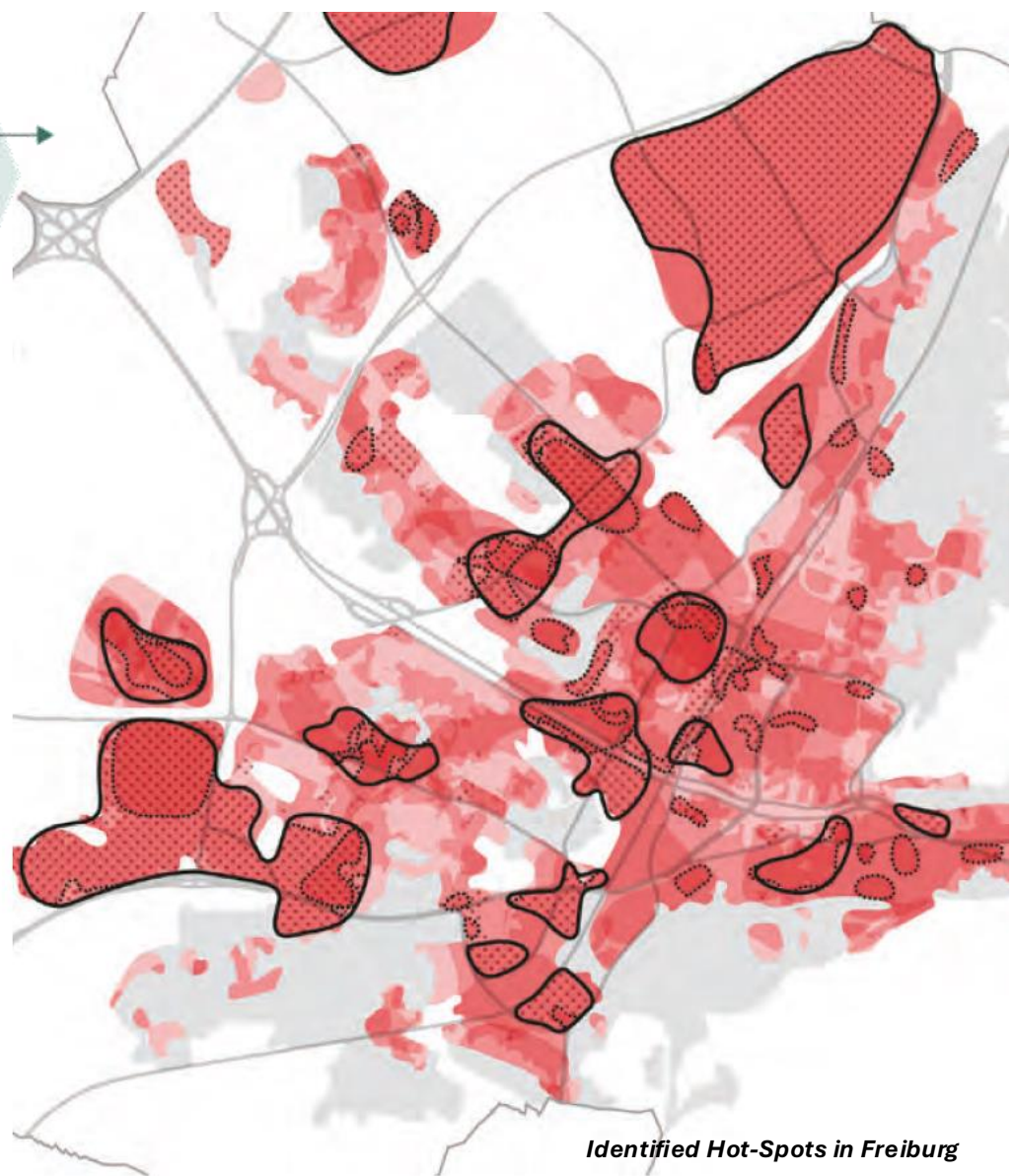
Synthesis, overlaying and evaluation from planning perspective of spatial criteria and indicators of determined risks within a city or quarter

# Urban Resilience Critical Spots



## Critical Spots

Synthesis, overlaying and evaluation from planning perspective of spatial criteria and indicators of determined risks within a city or quarter



Identified Hot-Spots in Freiburg

Source:  
Climate Adaptation Concept Freiburg  
berchtoldkrass, Geo-Net

# Urban Resilience Principles of Urban Resilience



## Spatial Principles of Urban Resilience:

Redundancy

Efficiency

Robustness

Flexibility

Diversity



# Urban Resilience Principles of Urban Resilience



## Redundancy

The same functions are provided multiple times, so that spare capacities (areas and infrastructures) are kept free to be able to react to unforeseeable risks and access them if necessary

### Example

*A city has multiple power sources, such as solar, wind, and traditional power plants, to ensure continuous electricity supply even if one source fails.*

# Urban Resilience Principles of Urban Resilience



## Efficiency

Spatial functions are planned in a space-saving manner or embedded in existing structures, resulting in efficient spatial structures

### Example

*A city uses rainwater harvesting and greywater recycling to reduce reliance on the main water supply and manage water resources sustainably.*

# Urban Resilience Principles of Urban Resilience



## Robustness

Robust systems take precautions, are self-sufficient in an emergency and overcome crises independently.

### Example

*A city continuously maintains and strengthens its bomb shelters and underground bunkers to ensure long-term protection for civilians during ongoing conflict.*

# Urban Resilience Principles of Urban Resilience



## Flexibility

Flexible systems can change, evolve and adapt to changing circumstances

## Example

*During an unexpected attack, a city quickly converts schools and community centers into emergency shelters and medical facilities to accommodate displaced residents.*

# Urban Resilience Principles of Urban Resilience



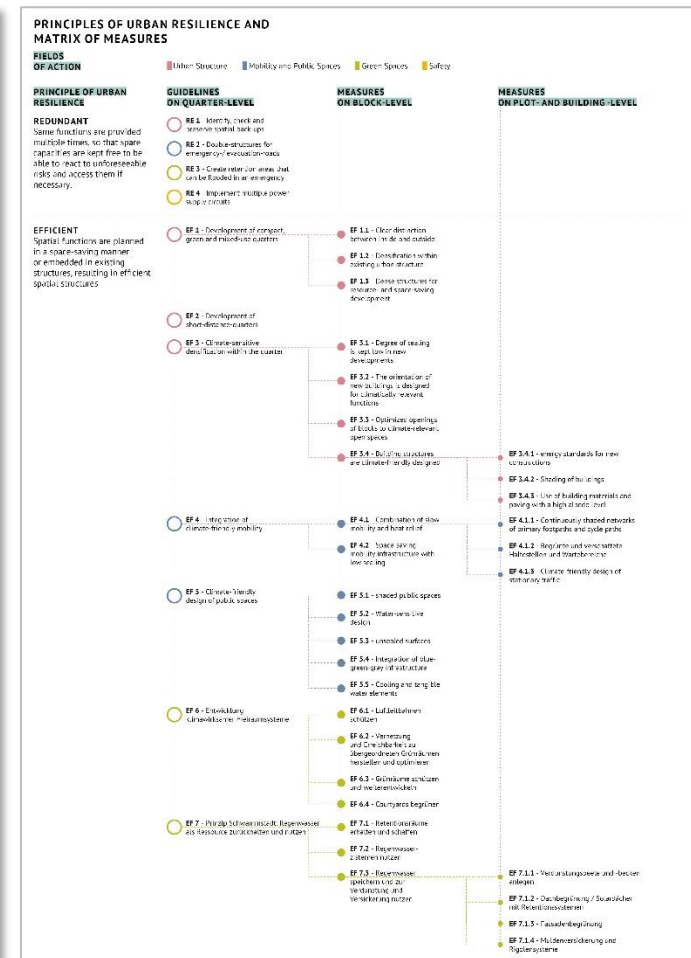
## Diversity

Urban systems are less vulnerable to disruptions when different alternatives and choices are provided. Diversity enables a faster response to crises and the ability to adapt to new conditions.

### Example

*A city's economy is supported by a variety of industries, including technology, manufacturing, tourism, and agriculture, reducing dependence on any single sector.*

- Quarter
- Block
- Plot / Building





# Urban Resilience Principles of Urban Resilience | Toolbox



## Toolbox - Structure

Principle of Urban Resilience:  
Description of what it is

Description of how to achieve it in

- 4 fields of Action
- 3 different scales

Links to further information and best practices

### TOOLBOX PRINCIPLES OF URBAN RESILIENCE AND MATRIX OF MEASURES

Resilient Cities and Quarters are **EFFICIENT**

**WHAT DOES IT MEAN?**  
Spatial functions are planned in a spatially efficient manner or embedded in existing structures.

**HOW TO ACHIEVE IT?**  
**Development of compact, green and mixed-use quarters**  
Efficient cities and quarters focus on compact, green and mixed-use planning. Inner development is preferred to outer development in order to create clear settlement boundaries and a clear "inside" and "outside". The premise of compact cities and quarters not only enables a higher density and the strengthening of urban spaces, but also the protection of natural resources in the surrounding area and the avoidance of urban sprawl. Despite the desired urban density with a mix of uses, short distances, easily accessible quarter services and, above all, easily accessible green spaces must be developed and guaranteed as a stable framework for residents.

Toolbox of compact, green and mixed-use quarters

- Clear distinction between inside and outside; prioritizing inner development in order to avoid urban sprawl in the countryside
- Densification within existing urban structure
- Dense structures for resource- and space-saving development

**Climate-sensitive densification within the quarter**

- Low degree of sealing in new developments
- Building structures are climate-friendly designed:
  - Energy standards for new constructions
  - Shading of buildings
  - Use of building materials and paving with a high albedo level

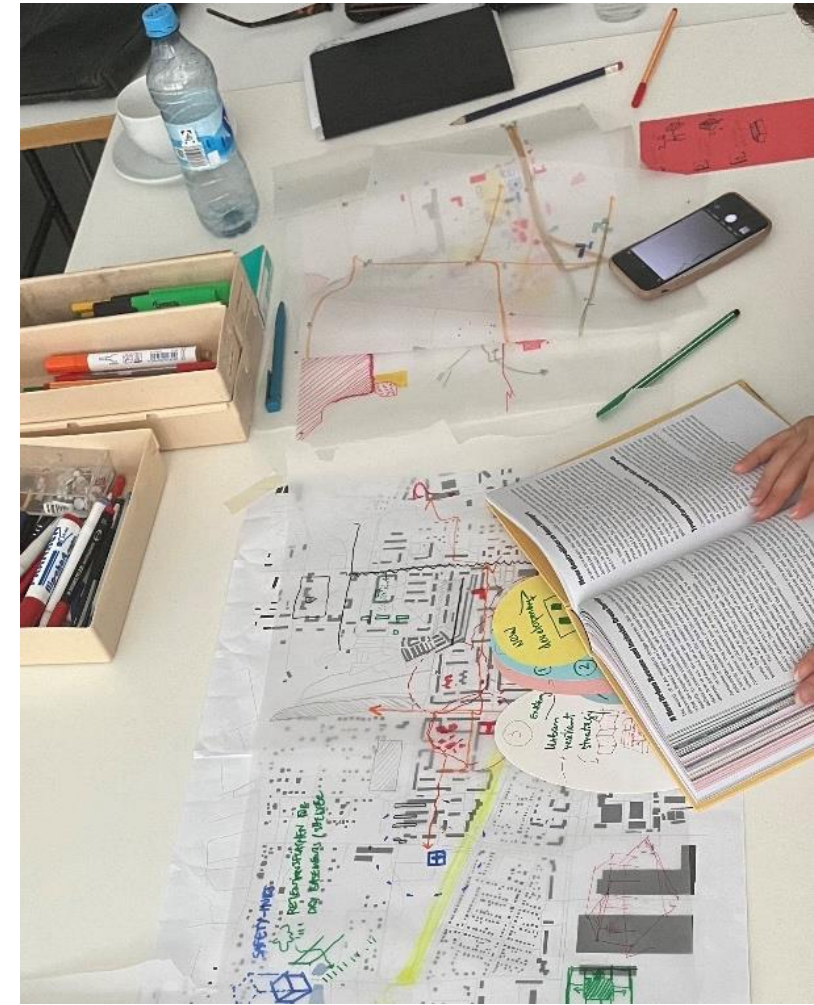
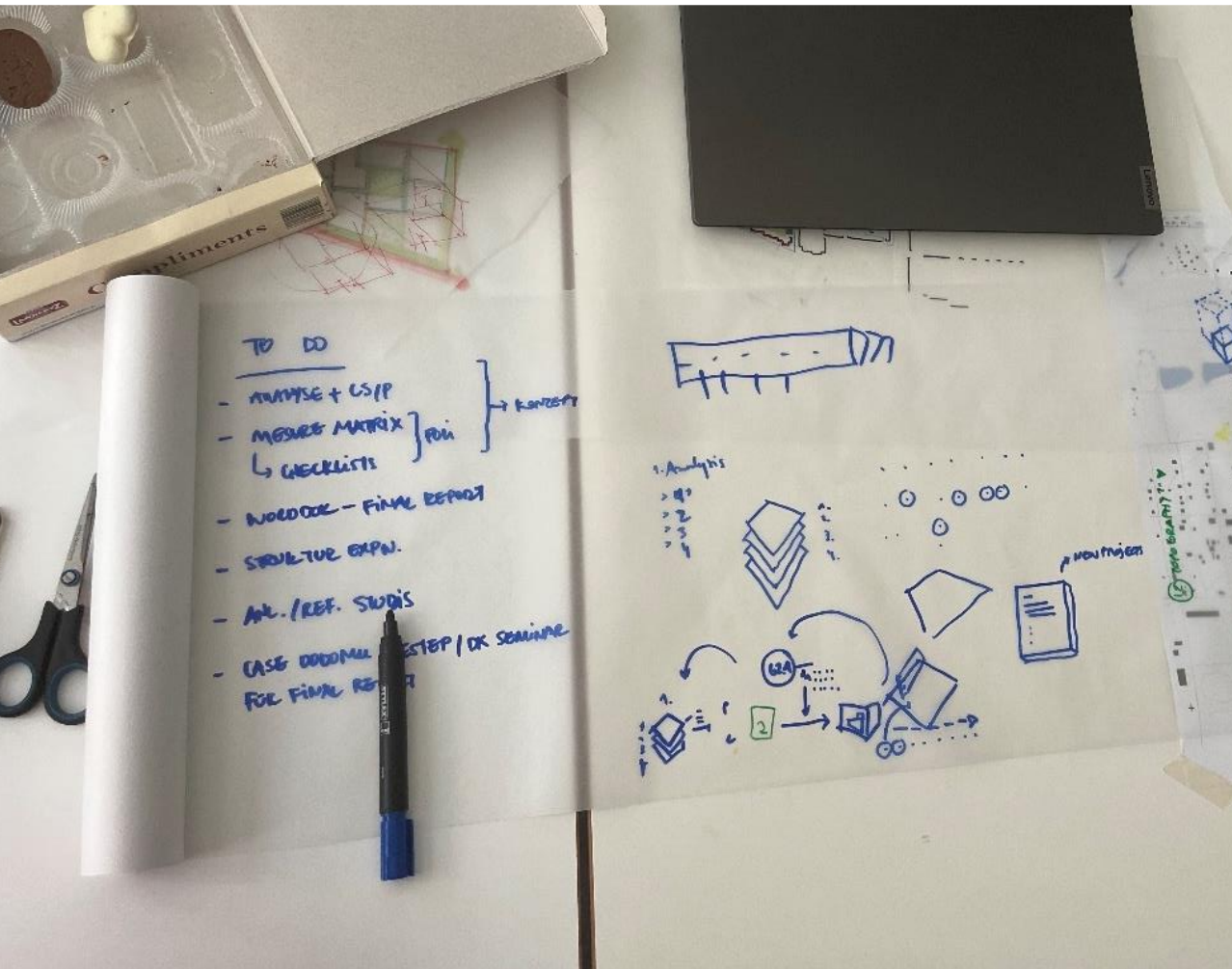
**Development of short-distance-quarters**  
**Integration of climate-friendly mobility**

- Combination of slow mobility and heat relief
  - Continuously shaded networks of primary footpaths and cycle paths
  - Green and shaded bus stops and waiting areas
  - Climate-friendly design of stationary traffic
- Space-saving mobility infrastructure with low sealing
- Climate-friendly design of public spaces
  - shaded public spaces
  - unsealed surfaces
  - Integration of blue-green-gray infrastructure
  - Cooling and tangible water elements

Urban Structure

Urban Structure   Mobility and Public Spaces   Green Spaces   Safety

# Urban Resilience Next Steps: Spatial Transfer into a Strategy





# Urban Resilience Next Steps: Spatial Transfer into a Strategy

